

# Lecture 11

Last time :  $(V, \langle \cdot, \cdot \rangle)$  Euclidean sp. of dim  $m = 2k$   
 $J$  complex str.  $J^2 = -\text{Id}$   
 $\Rightarrow V_{\mathbb{C}} := V \otimes_{\mathbb{R}} \mathbb{C} = W \oplus \bar{W}$   
 $\mathbb{H} \quad -\mathbb{H}$   
 $S = \Lambda^{\bullet} \bar{W}^*$

We defined  $C(V) \curvearrowright S$   $\mathbb{Z}_2$ -graded Clifford module

so that  $\text{End}(S) \simeq C(V) \otimes_{\mathbb{R}} \mathbb{C}$

Prop : When  $\dim V = m = 2k$   
 $\exists!$  nontrivial complex irreducible representation  $S$  s.t.

$$\text{End}(S) \simeq C(V) \otimes_{\mathbb{R}} \mathbb{C}$$

$$\dim_{\mathbb{C}} S = 2^k$$

Moreover,  $\exists!$  Hermitian metric  $h^S$  on  $S$   
 (up to a scalar multiplication) s.t.

$$\forall v \in V, s_1, s_2 \in S$$

$$h^S(C(V)s_1, s_2) = -h^S(s_1, C(V)s_2)$$

Hence, for  $v \in V$   $\|v\| = 1$

$$h^S(C(V)s_1, C(V)s_2) = h^S(s_1, s_2) \quad \#$$

Def :  $S$  is called spinor space  
 elements in  $S$  are called spinors

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Now we fix an orientation for  $V$  given by the ordered ONB  
 $e_1, \dots, e_{2k}$

Put  $\tau = (\det)^k (\alpha e_1) \cdots (\alpha e_{2k}) \in \mathrm{End}(S)$

It is easy to verify  $\tau^2 = \mathrm{Id}_S$

for  $v \in V$   $\tau(\alpha v) = -\alpha v$

$\tau$  defines a  $\mathbb{Z}_2$ -grading on  $S$

$$S = S^+ \oplus S^-$$

$$\tau = \begin{matrix} 1 & -1 \end{matrix}$$

$$\sum (-1)^j w_j^* \bar{w}_j - \bar{w}_j w_j^*$$

If the orientation  $\beta$  given by  $V \otimes_R \mathbb{C} = W \oplus \bar{W}$

$$\text{that is } e_{2j+1} = \frac{1}{\sqrt{2}}(w_j + \bar{w}_j) \quad e_{2j} = \frac{i}{\sqrt{2}}(w_j - \bar{w}_j)$$

$$\Rightarrow S^+ = \bigwedge^{\text{even}} \bar{W}^* \quad S^- = \bigwedge^{\text{odd}} \bar{W}^*$$

Prop : If  $(E, h^E)$  is a  $C(V)$ -module s.t.  $\forall v \in V$   
 $\alpha(v)$  is skew-adj. w.r.t.  $h^E$ , then  
 $(E, h^E)$  is a unitary representation of  $\mathrm{Spin}(V)$

Theorem :  $\mathrm{Spin}(V)$  acts on  $S = S^+ \oplus S^-$

Moreover,  $S^+, S^-$  are irreducible unitary  
 representations of  $\mathrm{Spin}(V)$ .

HW H.2 : Supertrace of  $\mathrm{Spin}(V) \curvearrowright S^\pm$

# V. Dirac operator, spin manifold and Lichnerowicz formula

## V.1 Dirac operator

Recall : Riemannian geometry

$(X, g^{TX})$  a Riemannian manifold  
 $\hookrightarrow$  Riemannian metric

Prop/Def : Levi-Civita connection  $\nabla^{TX}$  is the unique connection on  $TX$  s.t.

① Euclidean :  $\nabla^{TX}$  preserves  $g^{TX}$

$$d\langle U, V \rangle_{g^{TX}} = \langle \nabla^{TX}U, V \rangle_{g^{TX}} + \langle U, \nabla^{TX}V \rangle_{g^{TX}}$$

② Torsion-free :  $\forall U, V \in \mathcal{P}(TX)$

$$\nabla_U^{TX}V - \nabla_V^{TX}U = [U, V] \quad \nwarrow \text{Lie bracket}$$

Pf :  $\nabla^{TX}$  always exists and is determined by the formula :  $\forall U, V, W \in \mathcal{P}(TX)$

$$\langle \nabla_W^{TX}V, U \rangle_{g^{TX}}$$

$$= \frac{1}{2} \{ U\langle V, W \rangle_{g^{TX}} + V\langle W, U \rangle_{g^{TX}} - W\langle U, V \rangle_{g^{TX}} \\ + \langle [U, V], W \rangle_{g^{TX}} - \langle [V, W], U \rangle_{g^{TX}} - \langle [U, W], V \rangle_{g^{TX}} \}$$

Def: Riemannian curvature tensor  $R^{TX}$

$$R^{TX}(U, V)W = \nabla_U^T \nabla_V^T W - \nabla_V^T \nabla_U^T W - \nabla_{[U, V]}^T W.$$
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$\nabla^{T^*X}$  the connection on  $T^*X$  induced by Levi-Civita connection  $\nabla^{TX}$

$\rightarrow \nabla^{T^*X}$  or  $\nabla^{T^*X}$

Prop: ①  $d \wedge \Omega^i(X)$  has a formula:  
if  $\{e_i\}$  is ONB of  $(TX, g^{TX})$

$$d = \sum e^i \wedge \nabla_{e_i}^{T^*X}$$

$\subset$  induced by Levi-Civita connection

② (Cartan formula) For  $V \in \Gamma(TX)$

$L_V \wedge \Omega^i(X)$  Lie derivative

$$L_V \Omega := \frac{\partial}{\partial t} \phi_t^* \Omega \quad \phi_t = \exp(tV)$$

diffeomorphism of  $X$

Then  $\begin{cases} L_V f = V(f) & \text{for } f \in C^\infty(X) \\ \text{generated by } V \end{cases}$

$$L_V = [d, e_V] \wedge \Omega^i(X)$$

$\subset$  inner product / contraction

pf: HW 4.3 #

Def:  $(X, g^{TX})$  oriented Riemannian mfd of dim  $n$   
Riemannian volume form

$$dV(x) := e^1 \wedge \dots \wedge e^n$$

$\{e_j\}$  orthonormal local frame  $(TX, g^{TX})$   
oriented

$dV(x) \in \Omega^n(X)$  and it defines a positive  
measure on  $X$ .

Def:  $(E, h^E)$  Hermitian vector bundle on  $(X, g^{TX})$  (5)

$\forall s_1, s_2 \in C^\infty(X, E)$

$$\langle s_1, s_2 \rangle_{L^2} := \int_X h^E(s_1(x), s_2(x)) dV(x)$$

$L^2(X, E) = \text{completion of } C^\infty(X, E) \text{ w.r.t. } \langle \cdot, \cdot \rangle_{L^2}$   
 separable Hilbert space.

Now we switch to define Dirac operator

$(X, g^{TX})$

Def: The Clifford module  $C(TX)$  is the vector bundle  
 on  $X$  s.t.  $C(TX)_x = C(T_x X)$   
 c.w.r.t  $g_x^{TX}$

Symbol map

$$\sigma: C(TX) \longrightarrow \wedge^1 T^* X$$

now is an isomorphism of vector bundles on  $X$   
 This way, we can equip  $C(TX)$  with a Euclidean  
 metric  $g^{C(TX)}$  induced from  $g^{\wedge^1 T^* X}$

Def: Let  $\nabla^{C(TX)}$  be the connection on  $C(TX)$  given by

$$(*) \quad \nabla^{C(TX)}_U (v_1 \dots v_k) = \sum_j v_1 \dots v_{j-1} (\nabla^{TX}_U v_j) v_{j+1} \dots v_k$$

$\iff$  induced via  $\sigma$  from  $\nabla^{\wedge^1 T^* X}$  on  $\wedge^1 T^* X$ .

$$v_j^2 = -\langle v_j, v_j \rangle_{g^{TX}}$$

Since  $\nabla^{TX}$  preserves  $g^{TX}$

$$\begin{aligned}
 \nabla_{\mathbb{U}}^{\text{TX}}(v_j^2) &= (\nabla_{\mathbb{U}}^{\text{TX}} v_j) v_j + v_j (\nabla_{\mathbb{U}}^{\text{TX}} v_j) \\
 &= -2 \langle \nabla_{\mathbb{U}}^{\text{TX}} v_j, v_j \rangle_{g^{\text{TX}}} \\
 &= -(\langle \nabla_{\mathbb{U}}^{\text{TX}} v_j, v_j \rangle_{g^{\text{TX}}} + \langle v_j, \nabla_{\mathbb{U}}^{\text{TX}} v_j \rangle_{g^{\text{TX}}}) \\
 &= -2 \langle v_j, v_j \rangle_{g^{\text{TX}}}
 \end{aligned} \tag{6}$$

The definition (\*) preserves the Clifford relation.

Lemma:  $C(TX)$  acts on  $\Lambda^{\cdot} T^* X$  by  
 $c(v) = v^* \lambda - \ell_v$  odd operator

Then  $\forall v \in TX$

$$[\nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X}, c(v)] = c(\nabla_{\mathbb{U}}^{\text{TX}} v)$$

Pf:  $\{e_j\}$  ONB

$$[\nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X}, c(e_j)] e^i$$

$$= \nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X}(c(e_j) e^i) - c(e_j) \nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X} e^i$$

$$= \nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X}(e^i \wedge e^j - \delta_{ij}) - e^j \wedge \nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X} e^i$$

$$= (\nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X} e^j) \wedge e^i = c(\nabla_{\mathbb{U}}^{\text{TX}} e_j) e^i$$

$$\langle \nabla_{\mathbb{U}}^{\text{TX}} e_j, e_k \rangle = \langle e_j, \nabla_{\mathbb{U}}^{\text{TX}} e_k \rangle$$

$$= \langle \nabla_{\mathbb{U}}^{\Lambda^{\cdot} T^* X} e^j, e_k \rangle$$

$$c(v)(\alpha \wedge \beta) = (c(v)\alpha) \wedge \beta + (-1)^{\alpha} \alpha \wedge (c(v)\beta).$$

Definition:

- ① A vector bundle  $E$  on  $X$  is a Clifford module if  $\exists$  a  $C(TX)$ -action

$$C^\infty(X, C(TX)) \times C^\infty(X, E) \rightarrow C^\infty(X, E)$$

$$(a, s) \mapsto c(a) \cdot s$$

A superbundle  $E = E^+ \oplus E^-$  on  $X$  is a Clifford module if  $E$  is a Clifford module &  $\forall v \in TX$

(c.v) exchanges  $E^+$  &  $E^-$

$\mathbb{Z}_2$ -graded Clifford module

- ② Let  $h^E = h^{E^+} \oplus h^{E^-}$  be Hermitian metric on  $\mathbb{Z}_2$ -graded Clifford module  $E = E^+ \oplus E^-$  on  $X$ . Then we call  $(E, h^E)$  to be self-adj if

$$\forall v \in TX \quad (c.v)^* = -c.v$$

$c$  adj of  $c.v$  w.r.t  $h^E$

- ③ A connection  $\nabla^E$  on  $(E, h^E)$  is called a Clifford connection if  $\forall a \in C^\infty(X, C(TX))$ ,

$$[\nabla^E_U, c(a)]s = c(\nabla^{\{CTX\}}_U a)s, \quad \forall v \in TX$$

$$\left( \Leftrightarrow \forall U, V \in TX \quad [\nabla^E_U, c(v)] = c(\nabla^{\{TX\}}_U v) \right)$$

Rk:  $\nabla^E$  has to preserve the splitting  $E = E^+ \oplus E^-$   
i.e.  $\nabla^E = \nabla^{E^+} \oplus \nabla^{E^-}$

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Ex:  $(\wedge^{\cdot} T^*X, g^{\wedge^{\cdot} T^*X}, \nabla^{\wedge^{\cdot} T^*X})$   
 a self-adj.  $\mathbb{Z}_2$ -graded Clifford module  
 with Clifford connection.

Def (Dirac operator)

Given  $(E, h^E, \nabla^E)$   $\mathbb{Z}_2$ -Clifford  
 self-adj. &  $\nabla^E$  is Hermitian

The Dirac operator  $D^E$  associated with  $\nabla^E$  is

defined as

$$D^E := \sum_{j=1}^m c(e_j) \nabla_{e_j}^E \in C^\infty(X, E)$$

$\{e_j\}_{j=1}^m$  any local orthonormal frame of  $(TX, g^{TX})$

We denote

$$D_\pm^E = D^E|_{C^\infty(X, E^\pm)} : C^\infty(X, E^\pm) \rightarrow C^\infty(X, E^\mp).$$

Example: de Rham - Dirac operator  $(X, g^{TX})$  oriented  
 $d : \Omega^{\cdot}(X) \xrightarrow{\quad} \Omega^{\cdot+1}(X)$   
 Riemannian mfd.

$\langle , \rangle_{L^2}$  inner product on  $\Omega^{\cdot}(X)$ ,  $d\alpha$  volume form.

$d^*$  formal adjoint of  $d$  w.r.t.  $\langle , \rangle_{L^2}$   
 that is,  $d^*$  is a differential op. s.t.

$$\forall \alpha, \beta \in \Omega_c^{\cdot}(X)$$

$$\langle d^* \alpha, \beta \rangle_{L^2} = \langle \alpha, d\beta \rangle$$

$$d^*: \Omega^*(X) \rightarrow \Omega^{*-1}(X)$$

Recall that:  $d = \sum_j e_j \nabla_{e_j}^{\wedge T^* X}$

$$(e_j^i)^* = e_{e_j} \text{ pointwise, w.r.t } g^{\wedge T^* X}$$

Claim:  $d^* = -\sum_j e_{e_j} \nabla_{e_j}^{\wedge T^* X}$

$$D = d + d^* = \sum_j (\underbrace{e_j - e_{e_j}}_{c(e_j)}) \nabla_{e_j}^{\wedge T^* X}$$

Dirac op  
of  $\Omega^*(X)$

"pf": 
$$\begin{aligned} d^* &= \sum_j (\nabla_{e_j}^{\wedge T^* X})^* (e_j^i)^* \\ &= \sum_j (\nabla_{e_j}^{\wedge T^* X})^* e_{e_j} \\ &= \underbrace{\sum_j [\nabla_{e_j}^{\wedge T^* X}, e_{e_j}]}_{\ell \sum_j \nabla_{e_j}^T e_j} + \sum_j e_{e_j} (\nabla_{e_j}^{\wedge T^* X})^* \end{aligned}$$

$$\int_X \langle \alpha, \nabla_{\mu} \beta \rangle d\mu(x) = \underbrace{\int_X \langle \alpha, \beta \rangle d\mu(x)}_{\int_X \langle \alpha, \beta \rangle d\mu(x)} - \int_X \langle \nabla_{\mu}^* \alpha, \beta \rangle d\mu(x)$$

Rmk:  $d^* d|_{\Omega^0(X)} = C^\infty(X)$   
 is called Beltrami Laplacian  $(\nabla_{e_j}^{\wedge T^* X})^* = -\nabla_{e_j}^{\wedge T^* X} - \underbrace{\frac{\text{Le}_j d\mu(x)}{d\mu(x)}}$

HW 4.4

$$\langle e_j, \sum_k \nabla_{e_k}^T e_j \rangle$$